

App. No.

Time Stamp

Please refer to the general instructions for assistance and complete all sections in English. For legibility, please use BLOCK LETTERS in black or dark ink.

Distributor/RIA Code	Sub-Distributor ARN	Sub-Distributor Code	EUIN	Branch Code

Initial Commission will be paid by the investor directly to the distributor, based on assessment of various factors including the service rendered by the Distributor.

**Transaction Charges:** SEBI (Mutual Fund) Regulations allow deduction of transaction charges of Rs. 100/- from your investment for payment to your distributor if your distributor has opted to receive transaction charges for investments sourced by him. The transaction charges deductible are Rs. 150/- if you are investing in Mutual Funds for the first time. If you are making a SIP Investment, the transaction charges would be deducted over 3-4 instalments. No transaction charges would be levied if you are not investing through a Distributor or your investment amount is less than Rs.10,000/-.

If this is the first time, you are investing in any mutual fund, please tick here 

**Investor's Declaration where EUIN is not furnished:** I/We confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor and/or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of distributor and the distributor has not charged any advisory fees on this transaction.

 Sole/1st Applicant 2nd Applicant 3rd Applicant**1. EXISTING UNIT HOLDER'S INFORMATION** (If you hold a Folio with L&T Mutual Fund, please furnish the below information and move to Investment & Payment Information section.)Name of Sole/1st Unit Holder  Mr.  Ms.  M/s \_\_\_\_\_ First Name \_\_\_\_\_ Middle Name \_\_\_\_\_ Last Name \_\_\_\_\_ Folio No. \_\_\_\_\_

PAN/PEKRN# \_\_\_\_\_ Aadhaar No. \_\_\_\_\_ First Unit Holder \_\_\_\_\_ KIN^ \_\_\_\_\_

Date of Birth^           Mobile No. +91- \_\_\_\_\_ E-mail Id \_\_\_\_\_**2. NEW APPLICANT(S) PERSONAL INFORMATION**Name of 1st/Sole Applicant  Mr.  Ms.  M/s \_\_\_\_\_ First Name \_\_\_\_\_ Middle Name \_\_\_\_\_ Last Name \_\_\_\_\_

PAN/PEKRN# \_\_\_\_\_ Aadhaar No. \_\_\_\_\_ First Unit Holder \_\_\_\_\_ KIN^ \_\_\_\_\_

Date of Birth^           (Mandatory if first applicant is a minor) Mobile No. +91- \_\_\_\_\_ E-mail Id \_\_\_\_\_**Guardian (For Minor Investments) / Contact Person (For Non-Individuals)**Name  Mr.  Ms.  M/s \_\_\_\_\_ First Name \_\_\_\_\_ Middle Name \_\_\_\_\_ Last Name \_\_\_\_\_

PAN/PEKRN# \_\_\_\_\_ Aadhaar No. \_\_\_\_\_ First Unit Holder \_\_\_\_\_ KIN^ \_\_\_\_\_

Date of Birth^           (Mandatory if first applicant is a minor) Mobile No. +91- \_\_\_\_\_ E-mail Id \_\_\_\_\_**Relationship with Minor Applicant****Proof of Date of Birth****Proof of the Relationship with minor** Natural Guardian Birth Certificate Copy Passport Copy Aadhaar Card Copy Birth Certificate Copy Passport Copy Court Appointment Order Court Appointment Guardian Others

(please specify)

 Others

(please specify)

**3. DETAILS OF OTHER APPLICANT(S)** (Please note that where the sole/1st applicant is a minor, no joint holders are allowed)Name of 2nd Applicant  Mr.  Ms.  M/s \_\_\_\_\_ First Name \_\_\_\_\_ Middle Name \_\_\_\_\_ Last Name \_\_\_\_\_

PAN/PEKRN# \_\_\_\_\_ Aadhaar No. \_\_\_\_\_ First Unit Holder \_\_\_\_\_ KIN^ \_\_\_\_\_

Date of Birth^           (Mandatory if first applicant is a minor) Mobile No. +91- \_\_\_\_\_ E-mail Id \_\_\_\_\_Name of 3rd Applicant  Mr.  Ms.  M/s \_\_\_\_\_ First Name \_\_\_\_\_ Middle Name \_\_\_\_\_ Last Name \_\_\_\_\_

PAN/PEKRN# \_\_\_\_\_ Aadhaar No. \_\_\_\_\_ First Unit Holder \_\_\_\_\_ KIN^ \_\_\_\_\_

Date of Birth^           (Mandatory if first applicant is a minor) Mobile No. +91- \_\_\_\_\_ E-mail Id \_\_\_\_\_\*Investors providing e-mail id will receive Account Statements, Annual Report & other communication over e-mail. If you however wish to receive this communication in your registered postal address, please tick here 

KYC is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants. #PEKRN required for Micro investments upto Rs. 50,000 in a year.

^ 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).

**ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)**

Received from \_\_\_\_\_ an application for investment in Scheme L&amp;T \_\_\_\_\_ Option \_\_\_\_\_

App. No.

Investment Type (✓)  Lumpsum  SIP  Micro SIP  Multi-Scheme SIP  Multi-Scheme LumpsumInvestment Cheque Details : Instrument number \_\_\_\_\_ Rs. \_\_\_\_\_ Dated          

Drawn on Bank \_\_\_\_\_ Branch \_\_\_\_\_ City \_\_\_\_\_

For Office Use Only

Acknowledgement  
Stamp & Date

**4. Address (Address as per KRA records will overwrite this address if you are KYC compliant)**Correspondence Address \_\_\_\_\_  
\_\_\_\_\_

City/Town \_\_\_\_\_ Pin \_\_\_\_\_ State \_\_\_\_\_ Country \_\_\_\_\_

Overseas Address (Mandatory for NRIs/PIOs) \_\_\_\_\_  
\_\_\_\_\_

City/Town \_\_\_\_\_ Pin \_\_\_\_\_ State \_\_\_\_\_ Country \_\_\_\_\_

Tel (R) (ISD) (STD) \_\_\_\_\_ Tel (O) (ISD) (STD) \_\_\_\_\_ Fax (ISD) (STD) \_\_\_\_\_

**5. Tax status of Sole/First Applicant (Please ✓)**

<input type="radio"/> Resident Indian Individual	<input type="radio"/> Company/Body Corporate	<input type="radio"/> Defence Establishment	<input type="radio"/> Society
<input type="radio"/> Non Resident Indian Individual (NRI)	<input type="radio"/> Financial Institutions	<input type="radio"/> Hindu Undivided Family (HUF)	<input type="radio"/> Mutual Fund
<input type="radio"/> Person of Indian Origin (PIO)	<input type="radio"/> Limited Liability Partnership (LLP)	<input type="radio"/> Non Govt. Organization (NGO)	<input type="radio"/> Trust
<input type="radio"/> Foreign Portfolio Investor (FPI)	<input type="radio"/> Partnership Firm	<input type="radio"/> Association of Persons (AOP)/Body of Individuals(BOI)	<input type="radio"/> Others _____
<input type="radio"/> Foreign National Residing in India	<input type="radio"/> Foreign Institutional Investor (FII)	<input type="radio"/> Bank	Are you a Non Profit Organization (NPO) <input type="checkbox"/> Yes <input type="checkbox"/> No

**6. BANK ACCOUNT INFORMATION (Mandatory for receiving Redemption/Dividend payments)**

Account Number \_\_\_\_\_ Account Type:  Savings  Current  NRE  NRO  
Please ✓ any one  FCNR  Others \_\_\_\_\_

Bank Name \_\_\_\_\_ Branch \_\_\_\_\_

City \_\_\_\_\_ IFSC \_\_\_\_\_ MICR \_\_\_\_\_

**If you are not making the investment from the above mentioned bank account, please attach an original cancelled cheque leaf of the above account with the name of the first holder printed.****7. MODE OF HOLDING**Please ✓  Sole/1st Holder only  Any one or Survivor\*  Joint  
(If the mode of operation is not specified, for folios opened with more than one applicant, the mode of operation would be taken as "Any one or Survivor")**8. POWER OF ATTORNEY (PoA) HOLDER DETAILS**If your investment is being made by a Constituted Attorney on your behalf, please furnish the below details and enclose a original **notarised copy** of the Power of Attorney for registering the same:POA Holder's Name  Mr.  Ms. \_\_\_\_\_ First Name \_\_\_\_\_ Middle Name \_\_\_\_\_ Last Name \_\_\_\_\_POA for  Sole / First Applicant  Second Applicant  Third Applicant E-mail Id \_\_\_\_\_

PAN of POA Holder \_\_\_\_\_ KIN^ \_\_\_\_\_ Date of Birth^ | D | D | M | M | Y | Y | Y | Y |

**(POA Holder needs to comply with applicable KYC requirements). ^ 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).****9. DEMAT ACCOUNT INFORMATION (Mandatory for crediting units in demat account)**If you wish to hold your investment in dematerialised mode please furnish the below details and **enclose a copy of the Client Master** that you may have received from your Depository Participant.  NSDL  CDSL

NSDL/CDSL: Depository Participant Name \_\_\_\_\_

Depository Participant ID \_\_\_\_\_ Beneficiary A/c No. \_\_\_\_\_

Enclosed:  Client Master  Transaction / Statement Copy / DIS Copy

Subject to realisation of cheque and furnishing of mandatory information/documents. Please retain this slip till you receive your Account Statement.

call 1800 2000 400 or 1800 4190 200

email investor.line@Intmf.co.in

www.ltfs.com

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday

**10. INVESTMENT & PAYMENT INFORMATION (Please ensure that the cheque complies to the CTS 2010 standards)**

1. Investment Type (✓)  Lumpsum  SIP  Multi-Scheme Lumpsum  Multi-Scheme SIP (Please fill Multi-Scheme SIP Investment Form)

Micro SIP (For SIP/Micro SIP, please fill SIP Investment Form)

For Lumpsum & SIP Investment (Please issue cheque favouring scheme name)

Investment Amount (₹) \_\_\_\_\_ DD Charges (if applicable ₹) \_\_\_\_\_ Net Amount (₹) \_\_\_\_\_

Scheme Name L&T \_\_\_\_\_ Option (✓)  Growth\*  Dividend Payout  Dividend Reinvestment  Bonus^

Dividend Frequency (✓ wherever applicable)  Daily  Weekly  Monthly\*  Quarterly  Annual^  Semi-Annual^

For Multi-Scheme SIP/Multi-Scheme Lumpsum (Please issue cheque favouring L&T MF Multi-Scheme SIP and L&T MF Multi Scheme Lumpsum respectively)

Total Investment Amount (₹) \_\_\_\_\_ DD Charges (if applicable ₹) \_\_\_\_\_ Net Amount (₹) \_\_\_\_\_

Scheme 1 : L&T \_\_\_\_\_ Option (✓)  Growth\*  Dividend Payout  Dividend Reinvestment  Bonus^

Amount (₹) \_\_\_\_\_ Dividend Frequency \_\_\_\_\_

Scheme 2 : L&T \_\_\_\_\_ Option (✓)  Growth\*  Dividend Payout  Dividend Reinvestment  Bonus^

Amount (₹) \_\_\_\_\_ Dividend Frequency \_\_\_\_\_

Scheme 3 : L&T \_\_\_\_\_ Option (✓)  Growth\*  Dividend Payout  Dividend Reinvestment  Bonus^

Amount (₹) \_\_\_\_\_ Dividend Frequency \_\_\_\_\_

**2. Payment Details : For Lumpsum and SIP/Multi-Scheme SIP/Multi-Scheme Lumpsum**

Cheque / DD / Pay Order  Electronic Transfer  One Time Mandate (OTM) (for Lumpsum and SIP Investment)

If cheque / DD / Pay Order, please fill Instrument No. \_\_\_\_\_ Instrument Date 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Instrument Amount \_\_\_\_\_ DD Charges (if applicable ₹) \_\_\_\_\_ Net Amount (₹) \_\_\_\_\_

Drawn on 

Bank Name	Bank Branch	Bank City
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Account Type (✓)  Saving  Current  NRE  NRO  FCNR  Others \_\_\_\_\_

If electronic transfer, please fill UTR No. \_\_\_\_\_

Amount \_\_\_\_\_ Debit Bank Name \_\_\_\_\_ Account No. \_\_\_\_\_

If One Time Mandate, Please fill, Unique Mandate Reference Number (UMRN) \_\_\_\_\_

Amount \_\_\_\_\_ Debit Bank Name \_\_\_\_\_ Account No. \_\_\_\_\_

If electronic transfer, please fill UTR No. \_\_\_\_\_

Debit Bank Name \_\_\_\_\_ Account No. \_\_\_\_\_

\*Default option if not selected ^Available in select schemes only (Default plan / option / sub option will be applied incase of no information, ambiguity or discrepancy)

Document attached to avoid Third Party Payment rejection, wherever applicable :  Banker's Certificate for DD  Third Party Payment Declaration Form

**11. KYC DETAILS (Mandatory. If left blank the application is liable to be rejected)**

CATEGORIES	First Applicant/ Guardian	Second Applicant	Third Applicant																								
<b>Gross Annual Income (For Individuals and Non Individuals)</b>	<input type="radio"/> Below 1 lac <input type="radio"/> 1-5 Lacs	<input type="radio"/> Below 1 lac <input type="radio"/> 1-5 Lacs	<input type="radio"/> Below 1 lac <input type="radio"/> 1-5 Lacs																								
	<input type="radio"/> 5-10 Lacs <input type="radio"/> 10-25 Lacs	<input type="radio"/> 5-10 Lacs <input type="radio"/> 10-25 Lacs	<input type="radio"/> 5-10 Lacs <input type="radio"/> 10-25 Lacs																								
	<input type="radio"/> 25 Lacs - 1 crore <input type="radio"/> > 1 Crore	<input type="radio"/> 25 Lacs - 1 crore <input type="radio"/> > 1 Crore	<input type="radio"/> 25 Lacs - 1 crore <input type="radio"/> > 1 Crore																								
	Net-worth in (Mandatory for Non-Individuals)	Net-worth	Net-worth																								
	(₹) _____ as on <table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> (Not older than 1 year)	D	D	M	M	Y	Y	Y	Y	(₹) _____ as on <table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> (Not older than 1 year)	D	D	M	M	Y	Y	Y	Y	(₹) _____ as on <table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> (Not older than 1 year)	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y																				
D	D	M	M	Y	Y	Y	Y																				
D	D	M	M	Y	Y	Y	Y																				
<b>Occupation Details (For Individuals only)</b>	<input type="radio"/> Private Sector Service <input type="radio"/> Retired	<input type="radio"/> Private Sector Service <input type="radio"/> Retired	<input type="radio"/> Private Sector Service <input type="radio"/> Retired																								
	<input type="radio"/> Public Sector Service <input type="radio"/> Student	<input type="radio"/> Public Sector Service <input type="radio"/> Student	<input type="radio"/> Public Sector Service <input type="radio"/> Student																								
	<input type="radio"/> Government Service <input type="radio"/> Forex Dealer	<input type="radio"/> Government Service <input type="radio"/> Forex Dealer	<input type="radio"/> Government Service <input type="radio"/> Forex Dealer																								
	<input type="radio"/> Business <input type="radio"/> Agriculturist	<input type="radio"/> Business <input type="radio"/> Agriculturist	<input type="radio"/> Business <input type="radio"/> Agriculturist																								
	<input type="radio"/> Professional <input type="radio"/> Housewife	<input type="radio"/> Professional <input type="radio"/> Housewife	<input type="radio"/> Professional <input type="radio"/> Housewife																								
	<input type="radio"/> Others Please specify _____	<input type="radio"/> Others Please specify _____	<input type="radio"/> Others Please specify _____																								
<b>Others (For Individuals only)</b>	<input type="radio"/> I am politically Exposed Person	<input type="radio"/> I am politically Exposed Person	<input type="radio"/> I am politically Exposed Person																								
	<input type="radio"/> I am Related to Politically Exposed Person	<input type="radio"/> I am Related to Politically Exposed Person	<input type="radio"/> I am Related to Politically Exposed Person																								
	<input type="radio"/> Not Applicable	<input type="radio"/> Not Applicable	<input type="radio"/> Not Applicable																								

**Additional KYC Details for Non-Individuals**

<b>Others (For Non-Individuals only)</b>	Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company (If No, please attach Ultimate Beneficiary Ownership Declaration mandatorily)	<input type="radio"/> YES <input type="radio"/> NO
	If the Entity involved/providing any of the following services:	<input type="radio"/> YES (Please ✓ from below) <input type="radio"/> NO
	<input type="radio"/> Gaming/Gambling/Lottery/Casino Services <input type="radio"/> Foreign Exchange/ Money Changer Services <input type="radio"/> Money Lending/Pawning	

**12. INFORMATION REQUIRED FOR TAX REPORTING (Mandatory. If left blank the application is liable to be rejected)**

**FOR INDIVIDUALS:** The below information is required for all applicant(s)/Guardian including Sole proprietor and POA Holder.

	Sole/First Applicant/Guardian	Second Applicant	Third Applicant	POA Holder
I am a tax resident of India and not a resident of any other country	<input type="radio"/> Yes	<input type="radio"/> Yes	<input type="radio"/> Yes	<input type="radio"/> Yes
	<input type="radio"/> No	<input type="radio"/> No	<input type="radio"/> No	<input type="radio"/> No

**If No, please mandatorily enclose the FATCA & CRS Declaration for Individual Investors.**

**FOR NON-INDIVIDUALS:** Please mandatorily enclose the FATCA, CRS & UBO Declaration for Non Individuals with all the sections filled.

**13. NOMINATION DETAILS (Please note that where the sole/1st applicant is a minor, no nomination is allowed)**

(Please ✓)  I/We wish to Nominate  I/We do not wish to Nominate

I/We do hereby nominate the person(s) named below to receive the units allotted to my/our credit in my/our folio in the event of my/our death. I/We also understand that all payments and settlements made to Nominee(s), and signature(s) of the Nominee(s) acknowledging receipt thereof, will be noted as be a valid discharge by the AMC/Mutual Fund/Trustee. This instruction supercedes all previous nominations made by me/us in respect of the folio indicated above.

Particulars	1st Nominee	2nd Nominee	3rd Nominee
Name			
Date of Birth (in case nominee is a minor)	D   D   M   M   Y   Y   Y   Y	D   D   M   M   Y   Y   Y   Y	D   D   M   M   Y   Y   Y   Y
Guardian Name (in case nominee is a minor)			
Address			
City			
State			
Country			
Pincode			
Allocation %			
Signature of Guardian (if nominee is minor) (mandatory)			
Signature of Nominee			

**14. DECLARATION & SIGNATURES**

I/We have read and understood the contents of the Scheme Information Document, Statement of Additional Information and Key Information Memorandum of the aforesaid Scheme(s) of L&T Mutual Fund including the sections on "Who cannot invest", "Foreign Account Tax Compliance Act (FATCA) / Common Reporting Standard (CRS)" ("Reporting Guidelines") and "Important Note on Anti Money Laundering, Know-Your-Customer and Investor Protection". I/We hereby apply for allotment/purchase of Units in the Scheme(s) and agree to abide by the terms and conditions applicable thereto. I/We hereby declare that I/We am/are authorised to make this investment and that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any authority in India. I/We hereby authorise L&T Mutual Fund ("the Fund"), its Investment Manager ("LTIM") and its agents to disclose details of my investment to my bank(s)/ Fund's bank(s) and/or Distributor/ Broker/Investment Adviser/any governmental or regulatory authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing schemes of various Mutual Funds from amongst which the Scheme(s) is being recommended to me/us. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the information given in this application form is correct, complete and truly stated.

I/We accept and agree to abide by the terms and conditions (as mentioned on HYPERLINK "www.ltf.com") with respect to my/our dealings with L&T Mutual Fund/its Investment Manager through various channels.

In case there is any change in the information (especially pertaining to Reporting Guidelines) already provided to LTIM / Fund, I/We agree that I/We shall inform the same to LTIM/Fund within 30 days of the change. I/We authorize update of the records (including pertaining to the Reporting Guidelines) basis the information / documents received by LTIM/Fund/Registrar and Transfer Agent ("RTA") from other SEBI Registered Intermediaries. I/ We authorize LTIM/Fund/RTA, to share the information provided by me / us with other SEBI Registered Intermediaries to facilitate single submission /update. I / We authorize LTIM/ Fund/RTA to provide relevant information to upstream payors to enable withholding to occur and pay out any sums from the my/our account or close or suspend my/our account(s) under intimation me/us."

**APPLICABLE FOR NON-ADVISORY TRANSACTIONS ONLY:**

I/We, hereby acknowledge and confirm that the above transaction is "Execution Only" as explained vide SEBI Circular No. CIR/IMD/DF/13/2011 dated 22 August 2011. This investment is being made notwithstanding the advice of the appropriateness/inappropriateness of the same. On such transaction(s), I am not being charged any kind of transaction fee(s) by the AMFI registered distributor. On this transaction, the distributor would be compensated by the Mutual Fund House/Asset Management Company concerned in lines with the commission rate(s)disclosed by the distributor.

**\*APPLICABLE FOR NRIs/PIOs/FILs/FPIs INVESTING ON REPATRIATION BASIS ONLY:** I/We confirm that I am/we are Non-Resident(s) of Indian Nationality/Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/FCNR Account.

**APPLICABLE FOR INVESTMENT THROUGH RIA (REGISTERED INVESTMENT ADVISER) :**

I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan to the above mentioned SEBI Registered Investment Adviser.

Date: | D | D | M | M | Y | Y | Y | Y |

Sole/First Applicant/Guardian

Second Applicant

Third Applicant

# Systematic Investment Plan (SIP) / Micro SIP Form

Please refer to the general instructions for assistance and complete all sections in English. For legibility, please use BLOCK LETTERS in black or dark ink.

Time Stamp

Distributor/RIA Code	Sub-Distributor ARN	Sub-Distributor Code	EUIN	Branch Code
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Transaction Charges: SEBI (Mutual Fund) Regulations allow deduction of transaction charges of Rs. 100/- from your investment for payment to your distributor if your distributor has opted to receive transaction charges for investments sourced by him. The transaction charges deductible are Rs. 150/- if you are investing in Mutual Funds for the first time. If you are making a SIP Investment, the transaction charges would be deducted over 3-4 instalments. No transaction charges would be levied if you are not investing through a Distributor or your investment amount is less than Rs.10,000/-. If this is the first time, you are investing in any mutual fund, please tick here

Investor's Declaration where EUIN is not furnished: I/We confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor and/or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of distributor and the distributor has not charged any advisory fees on this transaction.

Sole/1st Applicant
  2nd Applicant
  3rd Applicant

## OTM DEBIT MANDATE FORM FOR NACH/ECS/AUTO DEBIT

UMRN  Office use only  Date DDMMYYYY

Utility Code   Create  Modify  Cancel

Sponsor Bank Code  I/We hereby authorize

to debit (✓)  SB  CA  CC  SB-NRE  SB-NRO  Other Bank A/c No.

With Bank  Bank Name  IFSC/ MICR

an amount of Rupees  Amount in words  ₹

Debit Type  Fixed Amount  Maximum Amount Frequency  Monthly  Quarterly  Half Yearly  Yearly  As & when presented

Reference 1  Reference 2

1. I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. 2. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorising the user entity / Corporate to debit my account, based on the instructions as agreed and signed by me. 3. I have understood that I am authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the user entity / corporate or the bank where I have authorized the debit.

Period From  To   Until Cancelled  
 Signature of First Account Holder  Signature of Second Account Holder  Signature of Third Account Holder   
 1. Name as in Bank Records 2. Name as in Bank Records 3. Name as in Bank Records

## APPLICANT INFORMATION

Name of Sole/1st Unit Holder First Name Middle Name Last Name Folio No.

PAN/PEKRN\*\*  First Unit Holder  Second Unit Holder  Third Unit Holder

KIN\*  First Unit Holder  Second Unit Holder  Third Unit Holder

Date of Birth\* (1st Unit Holder)  Date of Birth\* (2nd Unit Holder)  Date of Birth\* (3rd Unit Holder)

Mobile No. +91-  E-mail ID

## SIP & INVESTMENT DETAILS (Mandatory. If left blank, the application is liable to be rejected)

New SIP Registration  SIP Renewal  Update new OTM debit mandate for already registered SIP (If selected, move to Section 4)  OTM Debit Mandate to be registered in the folio. (If selected, Section 4 to be filled in mandatorily)

OTM Debit Mandate is already registered in the folio. Please fill, Unique Mandate Reference Number (UMRN)

Debit Bank Name  Account No.

Scheme Name L&T

Option (✓)  Growth\*  Dividend Payout  Dividend Reinvestment

First Instalment Details: Instrument No.  Instrument Date  Account Type (✓)  Savings  Current  NRE  NRO  Others

Drawn On  Bank Name  Bank Branch  Bank City

SIP Amount ₹  SIP Frequency  Monthly\*  Quarterly

SIP Debit Date  1st  5th  7th  10th\*  15th  20th  25th  28th  All eight dates SIP Period From  To  OR  Until Cancelled

(Note: Minimum gap of 30 days required between first cheque and subsequent instalment. In case of discrepancy in the SIP Period, the one mentioned in the Debit Mandate will be considered.) (For SIP amount Minimum 500 for Equity schemes & 1000 for Non Equity schemes) **\*Available in select schemes only**

Reason for your SIP (✓)  Children's Education  Children's Marriage  House  Car  Retirement  Others

SIP Top Up (Optional) - Available only for investments effected through Auto Debit. Top Up Amount ₹  Amount in multiples of ₹ 500 only

Top Up to continue till SIP amount reaches^ ₹  OR Top Up to continue till #  Top Up Frequency (Please ✓ any one)  Half Yearly  Yearly\*

^ SIP Top Up will cease once the mentioned amount is reached. # It is the date from which SIP Top-Up amount will cease. \*Default option if not selected

## DECLARATION, CONSENT & SIGNATURES (Mandatory. If left blank, the application is liable to be rejected)

I/We have read and understood the respective Scheme Information Document, Statement of Additional Information and Key Information Memorandum of L&T Mutual Fund. I/We hereby declare that I/We do not have any existing Micro SIPs which together with the current application will result in aggregate investments exceeding Rs. 50,000 in a year. I/We have neither received nor been induced by any rebate or gifts directly or indirectly in making this Systematic Investment. The ARN holder has disclosed to me/us all the commissions (in trail commission or any other), payable to him for the different competing schemes of Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby declare that the particulars given here are correct and express my/our willingness to make payments referred above through participation in ECS/ACH/Auto Debit. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold L&T Mutual Fund, their Investment Manager - L&T Investment Management Limited, or any of their appointed service providers or representatives responsible. I/We will also inform L&T Investment Management Limited about any changes in my/our bank account. I/We have read and agreed to the terms and conditions mentioned overleaf.

I/We hereby accord my/our consent to disclose, share, remit in any form, mode or manner, all any of the information provided by me/us, including all changes, updates to such information as and when provided by me/ us to the group companies of L&T Financial Services for any valid business purposes including marketing or sales promotion or with any statutory or judicial authorities, without any prior intimation to me/us, until notified by me/us otherwise.

## SIGNATURE/S AS PER L&T MUTUAL FUND (To be signed as per Mode of Holding)

Sole/First Applicant/Guardian
  Second Applicant
  Third Applicant

## GENERAL INSTRUCTIONS

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with black or dark ink. All information sought in the form is mandatory except where it is specifically indicated as optional. All instructions & notes are subject to SEBI & AMFI guidelines as amended from time to time. Please note in case of any error while filling the form all applicants must sign against the corrections.

1. **Applicant Information:** Please furnish the Folio Number, Name and PAN of Sole/First Applicant Section 1 of the Form. Your investment would be processed in the specified folio.  
Your personal information and bank account details would apply to this investment as well.  
Please provide the Mobile Number and E-Mail Address of the Sole / First Applicant in the form in case of Individuals and Key Contact in case of Non Individuals. This would help us seamlessly communicate with you on your investments.  
KYC is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants. \*\*PEKRN required for Micro investments upto Rs. 50,000 in a year.  
\* 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).  
**Permanent Account Number (PAN):** Furnishing of PAN is mandatory for all applicants except where specific dispensation is provided under law.
2. **SIP & Investment Details:**
  - a. New Investors who wish to enroll for SIP investment are required to fill (i) OTM Mandate Form (ii) SIP Registration Form and (iii) the respective Scheme Application form (included in the Key Information Memorandum). New Investors can apply for SIP into respective Scheme / Plans / Option without any existing investment /folio. Please mention the name of the Scheme where you plan to make your investment and your preferred option. If you do not indicate your preferred option, your application would be processed based on the terms & conditions set out in the Scheme Information Document. If you are not investing through a Distributor, please suffix "Direct Plan" after the scheme name.
  - b. Where a One Time Mandate is already registered in a folio for a bank account, the Unit Holder(s) is not required to fill the OTM debit mandate again. However, please mention the UMRM, debit bank name & account number.
  - c. Where the OTM mandate is getting submitted for the first time, please fill the OTM debit mandate form for NACH/ ECS/ Auto debit mandatorily. Enclose cancelled cheque leaf of the bank where OTM is getting registered if the initial purchase cheque is not from the same bank account.
  - d. Micro SIP: As per AMFI notification and guidelines issued on July 4, 2009, SIPs or Lumpsum purchases by eligible investors in a rolling 12 month period not exceeding Rs. 50,000 shall be exempt from the requirement of PAN. From January 1, 2012, KYC is mandatory for all holders of Micro investments.
    - The exemption of PAN requirement is only available to individuals.
    - Eligible investors may invest through SIP or lumpsum purchase without providing PAN subject to the threshold amount as mentioned above.
    - Eligible investors should attach a copy of KYC acknowledgment letter quoting PAN exempt KYC Reference No. (PEKRN) along with the application form.
  - e. Third Party Payments: Third Party Payments for investments are not accepted except in the below cases
    - Payment by employer on behalf of employees under SIP or lump sum subscription through Pay Roll deductions or deductions out of expense re imbursements.
    - Custodian on behalf of an FPI or Client made by Custodian.
    - Payment by an AMC to its empanelled distributor on account of commission/ incentive etc. in the form of Mutual Fund units through SIP or lump sum/one time subscription.
    - Payment by a Corporate to its Agent/Dealer/Distributor on account of commission or incentive payable for sale of its goods/services in the form of Mutual Fund units through SIP or lump sum/one time subscription.
 In the above cases, necessary declaration / banker's certificate needs to be provided confirming the source of funds for the investment. Please refer the SAI for more details.
  - f. SIP Top-Up Facility: Investors can opt for SIP Top Up facility wherein the amount of SIP can be increased at fixed intervals.
    - The minimum amount of increase under SIP Fixed Amount Top-Up facility should be Rs. 500/- and in multiples of Rs.500/- thereof.
    - SIP Top-Up frequency in case of investors availing Monthly SIP facility will be half yearly and yearly and for availing Quarterly SIP facility, the frequency will be yearly. In case the SIP Top-Up frequency is not indicated under Monthly SIP, it will be considered as yearly.
  - g. Your investment cheque should be crossed "Account Payee only" and drawn favoring the scheme name where the investment is in a specific scheme
  - h. Please ensure that the investment cheque issued by you complies with CTS 2010 requirement stipulated by the Reserve Bank of India. The words "CTS 2010" should appear on the face of the cheque.
    - i. Payments made by Cash/Money Order/Postal Order, Non- MICR cheque, outstation cheques are not accepted.
    - j. For detailed terms and conditions on SIP, SIP Top-up, OTM facility, please visit our website [www.lfcs.com](http://www.lfcs.com) and also refer to scheme related documents.
3. **Declarations & Signatures:**  
Unit holders need to sign here in accordance to the Mode of Holding provided to us and as per the mode of holding in the bank account in the same sequence and manner in the relevant boxes provided in the form.
4. **Debit Mandate:**
  - a. Please fill all the required details in the OTM Debit Mandate Form for NACH/ ECS/Auto Debit. The sole/first holder must be one of the holders in the bank account.
  - b. The UMRN, the Sponsor Bank Code and the Utility Code are meant for office use only and need not be filled by the investors.
  - c. The 9 digit MICR code and the 11 digit IFSC are mandatory requirements without which your SIP applications will be rejected. You should find these codes on your cheque leaf.

### CHECK LIST FOR SIP INVESTMENT FORM

Application No./Folio No. quoted on the Form	<input type="radio"/>
Name of the Sole/First Holder furnished in the Form	<input type="radio"/>
SIP details listed below are furnished <input type="radio"/> SIP installment amount <input type="radio"/> No. of SIP installments <input type="radio"/> SIP date <input type="radio"/> SIP frequency <input type="radio"/> SIP period <input type="radio"/> SIP Scheme	<input type="radio"/>
Complete Bank details are furnished in the form <input type="radio"/> Name of the Sole/1 <sup>st</sup> Holder of the Bank Account <input type="radio"/> Bank A/c No <input type="radio"/> MICR <input type="radio"/> IFSC	<input type="radio"/>
All holders have signed in accordance with the Mode of Holding as provided to us at the relevant place	<input type="radio"/>
All Bank Account Holders have signed in the same manner and sequence as their Bank Account in the relevant place	<input type="radio"/>

call 1800 4190 200 or 1800 2000 400

email [investor.line@lntmf.co.in](mailto:investor.line@lntmf.co.in)

[www.lfcs.com](http://www.lfcs.com)

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday.

**Mutual Fund investments are subject to market risks, read all scheme related documents carefully.**

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